Thank You to Our Sponsor

HOST AND SPONSOR

Deloitte.

CORPORATE SPONSOR

BMO Capital Markets

SAVE THE DATE
on Upcoming BAFT Conferences and Workshops!

- May 6 – 9: North America Annual Conference, Orlando, Florida, USA
- May 9: Financial Crime Compliance 2.0 – North America, Orlando, Florida, USA
- July 12 – 13: Global Payments Symposium, New York, New York, USA
- July 18 – 19: New York Trade Finance Workshop, New York, New York, USA
- July 19 – 20: West Coast Trade Finance Workshop, Los Angeles, California, USA
- September 26: Supply Chain Finance Bootcamp, Chicago, Illinois, USA
- September 26 – 28: 28th Annual Conference on International Trade, Chicago, Illinois, USA
- October 21: 10th Annual Global Council Forum, Sydney, Australia (invite only event)
Monday, March 19, 2018

13:00 – 13:30  Registration/Sign in
13:30 – 13:50  Welcome
13:50 – 14:20  Domestic Anti-Money Laundering Policy
Interpretation to update and discuss current local policy and requirements of AML, and also the potential future of such procedures.
14:20 – 14:50  BAFT Combating Trade Based Money Laundering: Rethinking the Approach
Review of BAFT’s recently released paper that proposes alternative, collaborative approaches to solving the problem of trade based money laundering by increasing the public and private sector partnership.
14:50 – 15:20  Innovation in Financial Technology for Usage in the Field of Anti-Money Laundering
Innovative ways and methodologies in using the latest technological advantage to counter the challenges faced in financial crime.
15:20 – 15:40  Networking Coffee/Tea Break
15:40 – 16:10  Wolfsberg Customer Due Diligence Questionnaire: Update
Wolfsberg Customer Due Diligence Questionnaire planned for release this February. The materials include publication guidance (who this applies to, reasons for doing it, expectations for implementation); completion guidance (a question by question explanation of what each question means and how to respond to it); FAQs (questions the Group already received and think are important for people to understand the answers to) and FAQs (questions the Group already received and think are important for people to understand the answers to) and FAQs (questions the Group already received and think are important for people to understand the answers to) and FAQs (questions the Group already received and think are important for people to understand the answers to) and FAQs (questions the Group already received and think are important for people to understand the answers to) and FAQs (questions the Group already received and think are important for people to understand the answers to).
16:10 – 16:40  Discussion on the Topic of Overseas Compliance
To present and discuss how the compliance procedures of other countries affects the local AML policies and procedures.
16:40 – 17:00  Wrap Up

Tuesday, March 20, 2018

08:30 – 09:00  Registration/Sign in
09:00 – 9:15  Welcome
09:15 – 10:30  Trade Based Money Laundering
A. What is TBML
B. Typologies/Case Study
10:30 – 10:50  Networking Coffee/Tea Break
10:50 – 12:00  Trade Based Money Laundering
A. How do banks involved in trade finance identify TBML
B. Alternative ways to combat TBML
12:00 – 13:00  Networking Lunch
13:00 – 14:00  Correspondent Banking: AML Requirements
A. Risk rating and customer due diligence
B. Event-driven and periodic refresh
C. Transaction monitoring
14:00 – 15:00  Correspondent Banking: Clarification of Regulatory/Supervisory Expectations
15:00 – 15:20  Networking Coffee/Tea Break
15:20 – 17:00  Correspondent Banking: Tools and Best Practices
A. KYC registries
B. Transaction monitoring powered by AI
C. Group-wide information sharing
D. BAFT’s respondent’s playbook
17:00 – 17:20  Wrap Up

Program

09:00 – 10:30  Welcome
10:30 – 12:00  Trade Based Money Laundering
12:00 – 13:00  Networking Lunch
13:00 – 14:00  Correspondent Banking: AML Requirements
14:00 – 15:00  Correspondent Banking: Clarification of Regulatory/Supervisory Expectations
15:00 – 16:00  Discussion on the Topic of Overseas Compliance
16:00 – 16:40  Wrap Up

Speakers

Chris Cheung
Partner
Deloitte Forensic, China/Hong Kong
Mr. Chris Cheung is a Partner at Deloitte Forensic in China/Hong Kong specializing in Financial Crime Compliance, AML, and Forensic Analytics.

Stacey Factor
Senior Vice President, Trade Products
BAFT
Ms. Stacey Factor is a Senior Vice President, Trade Products where she has oversight of all AML/CTF controls including the policy, procedures, STR reporting as well as compliance and internal auditor procedures.

Jeff Yang
Head of International AML Policy, Governance, and Assurance
Ant Financial
Mr. Jeff Yang is the Head of International AML Policy, Governance, and Assurance at Ant Financial. In this role he is responsible for developing and establishing AML policy and procedures and governance framework for the international business of Ant Financial, as well as working with the various technology teams to formulate innovative solution for AML/CTF purpose. Prior to joining Ant Financial, Jeff has served in the AML/FCG department of Morgan Stanley’s New York and Hong Kong offices.

Radish Singh
Partner
Deloitte Forensic, Financial Crime Compliance
Ms. Radish Singh has over 20+ years of experience in the field of financial services regulations, compliance, anti-bribery and corruption, conduct of business and financial crime (AML/ Sanctions). She currently leads Deloitte’s South East Asia Financial Crime Compliance practice. Her clientele includes major global and local Banks in Singapore.

Follow us on Twitter @BAFT_Global and share your event related tweets using #BAFT