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SAVE THE DATE
on *Upcoming BAFT Conferences and Workshops!

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Details</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>March 19 – 20</td>
<td>Financial Crime Compliance 2.0 – Hong Kong</td>
<td>Hong Kong</td>
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<tr>
<td>March 22</td>
<td>Financial Crime Compliance Forum</td>
<td>Shanghai, China (Invite only event)</td>
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<tr>
<td>May 6 – 9</td>
<td>North America Annual Conference</td>
<td>Orlando, Florida, USA</td>
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<tr>
<td>May 9</td>
<td>Financial Crime Compliance 2.0 – North America</td>
<td>Orlando, Florida, USA</td>
</tr>
<tr>
<td>July 12 – 13</td>
<td>Global Payments Symposium</td>
<td>New York, New York, USA</td>
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<tr>
<td>July 18 – 19</td>
<td>New York Trade Finance Workshop</td>
<td>New York, New York, USA</td>
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<tr>
<td>July 19 – 20</td>
<td>West Coast Trade Finance Workshop</td>
<td>Los Angeles, California, USA</td>
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<tr>
<td>September 26</td>
<td>Supply Chain Finance Bootcamp</td>
<td>Chicago, Illinois, USA</td>
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<tr>
<td>September 26 – 28</td>
<td>28th Annual Conference on International Trade</td>
<td>Chicago, Illinois, USA</td>
</tr>
<tr>
<td>October 21</td>
<td>10th Annual Global Council Forum</td>
<td>Sydney, Australia (Invite only event)</td>
</tr>
</tbody>
</table>

*Subject to change. Please check BAFT.org/events for the most updated information.
3. Ongoing Monitoring of the Business Relationship (Transaction Monitoring, Transaction Filtering and Name Screening)

Learning objective: This section will explain recent developments in governance and optimization of automated transaction monitoring systems for both AML/CFT and sanctions compliance, as well as the importance of the client name screening.

- A. Governance of your automated system
- B. Optimization – a combination of systems, processes and people
- C. Detection scenario and case studies

13:00 – 14:00
Networking Lunch

14:00 – 15:00
Correspondent Banking and Trade Finance

Learning objective: This section will describe current international standards (FATF) and supervisory expectations (BCBS) for correspondent banking and how correspondents and respondents can meet these expectations in an efficient and cost-effective way.

- A. Customer due diligence and risk rating
  - 1. Initial
  - 2. Event driven and periodic refresh
  - 3. When do you have to know your customer’s customer?
- B. Transaction monitoring – transparency in payments
- C. Restricting or closing an account

15:00 – 15:15
Networking Coffee Break

15:15 – 15:45
BTAF Combating Trade Based Money Laundering: Rethinking the Approach

Learning objective: Review of BTAF’s recently released paper that proposes alternative, collaborative approaches to solving the problem of trade based money laundering by increasing the public and private sector partnership.

- A. Comprehensive sanctions, SDN and sectoral sanctions
- B. EU vs. US sanctions against Russia
- C. Sanctions – a note about trans-shipment
- D. Governance and controls
  - 1. Training and awareness
  - 2. Testing
  - 3. Accountability

16:45
Wrap Up/Closing Remarks

BAFT FINANCIAL CRIME Compliance Workshop — Azerbaijan

March 13, 2018 | Baku, Azerbaijan

PROGRAM